# Sumcheck Arguments and their Applications

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https://ia.cr/2021/333

#### Succinct arguments



smaller than the witness

### The sumcheck protocol [LFKN92]

Ρ

Given a polynomial  $p(X_1, ..., X_\ell)$  over a field  $\mathbb{F}$  and a value  $u \in \mathbb{F}$ , prove that  $\sum_{\omega \in H^\ell} p(\omega_1, ..., \omega_\ell) = u$ 

Computes polynomials  $q_i(X_i) = \sum_{\underline{\omega} \in H^{\ell-i}} p(r_1, \dots, r_{i-1}, X_i, \omega_{i+1}, \dots, \omega_{\ell})$ 

$$q_{1} \in \mathbb{F}[X_{1}]$$

$$r_{1} \leftarrow \mathbb{F}$$

$$\vdots$$

$$q_{\ell} \in \mathbb{F}[X_{\ell}]$$

$$r_{\ell} \leftarrow \mathbb{F}$$

Communication  $\ell \cdot \deg(p)$  elements of  $\mathbb{F}$ 

Checks that  $\sum_{\omega_1 \in H} q_1(\omega_1) = u$   $\sum_{\omega_2 \in H} q_2(\omega_2) = q_1(r_1)$   $\vdots$   $\sum_{\omega_\ell \in H} q_\ell(\omega_\ell) = q_{\ell-1}(r_{\ell-1})$ 

Evaluates p to check that  $p(r_1, \dots, r_\ell) = q_\ell(r_\ell)$ 

**Soundness:** If  $\sum_{\underline{\omega} \in H^{\ell}} p(\omega_1, ..., \omega_{\ell}) \neq u$  then V accepts with probability at most  $\frac{\ell \cdot \deg(p)}{|\mathbb{F}|}$ .

### The sumcheck protocol is everywhere!



Sumcheck-based succinct arguments [Thaler13]

[CMT13], [VSBW13], [W+17], [ZGKPP17], [WTSTW18], [XZZPS19], [Set20] Univariate-sumcheckbased arguments [BCRSVS19]

[BCGGRS19], [ZXZS20], [CHMVW20], [COS20], [CFQR20], [BFHVXZ20] Sumchecks for tensor codes [Meir13] [RR20], [BCG20], [BCL20]

#### **Useful properties:**

• Linear-time prover [Thaler13,ZXZS20]

• Small space [CMT13] (can be implemented with streaming access)

 Strong soundness properties [CCHLRR18] (can make non-interactive without random oracles)

### The sumcheck protocol is everywhere!



https://zkproof.org/2020/03/16/sum-checkprotocol/

#### **Useful properties:**

• Linear-time prover [Thaler13,ZXZS20]

• Small space [CMT13] (can be implemented with streaming access)

 Strong soundness properties [CCHLRR18] (can make non-interactive without random oracles)

### Split-and-fold techniques: a separate body of work?



Some unifying abstractions: [BMMTV19,AC20,BDFG21]

#### **Useful properties:**

• Linear-time prover

• Streaming prover [BHRRS20], [BHRRS21] (can be implemented in small space)



[BBBPWM18] implemented in Rust, Haskell, Javascript, and deployed by Blockstream, and in Monero, Mimblewimble and more...

#### Aim, Fire: Bulletproofs Is a Crypto **Privacy Breakthrough**

https://www.coindesk.com/aim-fire-bulletproofs-breakthrough-privacy-blockchains

Some unifying abstractions: [BMMTV19,AC20,BDFG21]

#### **Useful properties:**

• Linear-time prover

• Streaming prover [BHRRS20], [BHRRS21] (can be implemented in small space)

### Results

#### ...to a unified perspective



# General goal: succinct arguments for commitment openings



### A new notion : sumcheck-friendly commitments

**Definition**: A commitment scheme CM is sumcheck friendly if



**Example**: Pedersen commitments  $C = a_1 \cdot g_1 + \dots + a_n \cdot g_n$ 

$$\begin{array}{ll} H = \{-1,1\} \\ R = \mathbb{F}_p \end{array} \begin{array}{ll} \mathbb{M} = \mathbb{F}_p, \quad p_m(X_1, \dots, X_\ell) = \sum a_{i_1, \dots, i_\ell} X_1^{i_1} \dots X_\ell^{i_\ell} \\ \mathbb{K} = \mathbb{G}, \quad p_{ck}(X_1, \dots, X_\ell) = \sum g_{i_1, \dots, i_\ell} X_1^{i_1} \dots X_\ell^{i_\ell} \end{array} \begin{array}{ll} \mathbb{C} = \mathbb{G} \\ f: (a,g) \to a \cdot g_{i_1} \end{array}$$

#### Main result: sumcheck arguments

#### **Theorem 1:**

Let CM be a commitment scheme which is **sumcheck-friendly** and **invertible**. Given a commitment key ck and a commitment C, the sumcheck protocol applied to

$$p(X_1, \dots, X_\ell) = f\left(p_m(X_1, \dots, X_\ell), p_{ck}(X_1, \dots, X_\ell)\right) \in \mathbb{C}[X_1, \dots, X_\ell] \langle$$

(with one extra verifier check) is a succinct argument of knowledge for the claim  $\exists m$  such that C = Com(ck, m), with

• completeness • soundness • communication  $\ell \cdot \deg(p)$ 

Think  $O(\log |m|)$ 

Sumcheck

works over

rings and

modules

### Application: succinct arguments for NP



#### Application to R1CS over rings

**R1CS problem over a ring** R: given matrices  $A, B, C \in \mathbb{R}^{n \times n}$ , does there exist  $z \in \mathbb{R}^n$  satisfying  $Az \circ Bz = Cz$ ?

**Bilinear module**: a triple of modules  $(M_L, M_R, M_T)$  over the same ring with a bilinear map  $e: M_L \times M_R \to M_T$ . Has enough structure for Pedersen and Schnorr

**Theorem 2**: Let  $(M_L, M_R, M_T, e)$  be a "secure" bilinear module where  $M_L$  is a ring. Let  $I \subseteq M_L$  be a suitable ideal. There is a ZK succinct argument of knowledge for R1CS with

R1CS Ring	Prover time	Verifier time	Proof size
$M_L/I$	O(n) ops	O(n) ops	$O(\log n)$ elems of $M_T$
$M_L/I$	O(n) ops in $M_L, M_R, M_T$	O(n) ops in $M_L, M_R, M_T$	$O(\log n)$ elems

### Lattice-based succinct arguments for R1CS

**Corollary:** Let d be a power of 2,  $p \ll q$  primes,  $R_p \coloneqq \mathbb{Z}_p[X]/\langle X^d + 1 \rangle$  and similarly for  $R_q$ . Then assuming SIS is hard over  $R_q$ , there is a zero-knowledge succinct argument of knowledge for R1CS with

$D$ $O(m)$ are in $D$ $D$ $O(m)$ are in $D$ $D$ $O(\log m)$ along of $D$	R1CS Ring	Prover time	Verifier time	Proof size
$R_p = O(n) \text{ ops in } R_p, R_q = O(n) \text{ ops in } R_p, R_q = O(\log n) \text{ elems of } R_q$	$R_p$	$O(n)$ ops in $R_p$ , $R_q$	$O(n)$ ops in $R_p$ , $R_q$	$O(\log n)$ elems of $R_q$

Concurrent work:

- [LA21] gives impossibility results and improvements for lattice POKs
- [ACK21] gives lattice-based succinct arguments for NP

### Open questions

- Analyse the post-quantum security of sumcheck arguments
- Investigate new lattice instantiations [LA21] and concrete performance improvements
- Give instantiations of [BFS20,Lee21,BHHRS21] in our framework (or a generalization)



## Techniques

### Sumcheck arguments for commitment schemes







# Completeness (part 1)

**Lemma:** If  $\langle \underline{a}, \underline{G} \rangle = C$ , then the verifier accepts with probability 1.



It suffices to show the following claim.

**Claim:** 
$$\sum_{\underline{\omega} \in \{-1,1\}^{\log(n)}} p_{\underline{a}}(\underline{\omega}) p_{\underline{G}}(\underline{\omega}) = n \langle \underline{a}, \underline{G} \rangle$$
 (recall  $p_{\underline{r}}(\underline{X}) = \sum_{i=1}^{n} r_{\underline{i}} X_1^{i_1} \cdots X_{\log(n)}^{i_{\log(n)}}$ )



# Completeness (part 2)

Claim: 
$$\sum_{\underline{\omega} \in \{-1,1\}^{\log(n)}} p_{\underline{a}}(\underline{\omega}) p_{\underline{G}}(\underline{\omega}) = n \langle \underline{a}, \underline{G} \rangle$$
 (recall  $p_{\underline{r}}(\underline{X}) = \sum_{i=1}^{n} r_{\underline{i}} X_{1}^{i_{1}} \cdots X_{\log(n)}^{i_{\log(n)}}$ )

 $\sum_{\underline{\omega} \in \{-1,1\}^{\log(n)}} p_{\underline{a}}(\underline{\omega}) p_{\underline{G}}(\underline{\omega})$  cancels monomials of odd degree in any variable, e.g.,  $X_1 X_2^2 X_3^2$ 

Hence,  $\sum_{\underline{\omega} \in \{-1,1\}^{\log(n)}} p_{\underline{a}}(\underline{\omega}) p_{\underline{G}}(\underline{\omega})$  receives contributions from monomials  $X_1^{2i_1} \cdots X_{\log(n)}^{2i_{\log(n)}}$ 





## Soundness (part 1)

What kind of soundness?

Knowledge soundness

There exists an extractor that given a suitable tree of *accepting transcripts* for a commitment key ck and commitment C, finds an opening m such that C = Com(ck, m).





# Soundness (part 2)

**Lemma:** There exists an extractor that, given a 3-ary *tree of accepting transcripts* for key <u>*G*</u> and commitment *C*, finds an opening <u>*a*</u> such that  $C = \langle \underline{a}, \underline{G} \rangle$ .





# Soundness (part 3)

**Claim:** If  $\underline{\pi}^{(j)} \in \mathbb{F}^{2^{\ell-i}}$  is opening for  $q_i(r_i^{(j)})$  for  $j \in [3]$ , we can find an opening of size  $2^{\ell-i+1}$  for  $q_{i-1}(r_{i-1})$ .

In the protocol,  $q_i(X) = \sum_{\underline{\omega} \in \{-1,1\}^{\ell-i}} p_{\underline{a}}(r_1, \dots, r_{i-1}, X, \underline{\omega}) p_{\underline{G}}(r_1, \dots, r_{i-1}, X, \underline{\omega}).$ So,  $q_i(X)$  is **quadratic**.

3-ary tree contains **three** evaluations of  $q_i(X)$  such that  $\forall j \in [3], \quad q_i\left(r_i^{(j)}\right) = \langle \underline{\pi}^{(j)}, \underline{G}_i \rangle$  **Goal:** find  $\underline{\pi}$  such that  $q_i(X) = \langle \underline{\pi}(X), \underline{G}_{i-1} \rangle$ Verifier's check Then we can find  $q_{i-1}(r_{i-1}) = \overline{q_i(1) + q_i(-1)} = \langle \underline{\pi}', \underline{G}_{i-1} \rangle$ 



# Soundness (part 4)

**Claim:** If  $\underline{\pi}^{(j)} \in \mathbb{F}^{2^{\ell-i}}$  is opening for  $q_i(r_i^{(j)})$  for  $j \in [3]$ , we can find an opening of size  $2^{\ell-i+1}$  for  $q_{i-1}(r_{i-1})$ .

 $\underline{G}_k$  is the vector of coefficients of  $p_{\underline{G}}(r_1, \dots, r_k, \underline{X})$ 

3-ary tree contains **three** evaluations of  $q_i(X)$  such that  $\forall j \in [3], \quad q_i\left(r_i^{(j)}\right) = \langle \underline{\pi}^{(j)}, \underline{G}_i \rangle$ 

$$= \left\langle \underline{\pi}^{(j)}, (\underline{G}_{i-1,L} + r_i^{(j)} \underline{G}_{i-1,R}) \right\rangle$$
  
$$= \left\langle \left( \underline{\pi}^{(j)}, r_i^{(j)} \underline{\pi}^{(j)} \right), \underline{G}_{i-1} \right\rangle \xrightarrow{\text{linear algebra}} q_i(X) = \langle \underline{\pi}(X), \underline{G}_{i-1} \rangle$$

Pedersen commitment is invertible.

### Sumcheck arguments for commitment schemes

Today:





Sumcheck argument: Scalar-product commitment

### Completeness and soundness

Lemma: The verifier accepts with probability 1.

$$C = \begin{pmatrix} \langle \underline{a}, \underline{G}_{1} \rangle \\ \langle \underline{b}, \underline{G}_{2} \rangle \\ \langle \underline{a}, \underline{b} \rangle U \end{pmatrix} \xrightarrow{} \begin{pmatrix} p_{\underline{a}}(\underline{X}) p_{\underline{G}_{1}}(\underline{X}) \\ p_{\underline{b}}(\underline{X}) p_{\underline{G}_{2}}(\underline{X}) \\ p_{\underline{a}}(\underline{X}) p_{\underline{b}}(\underline{X}) U \end{pmatrix}$$

Follows from completeness for Pedersen

**Lemma: If the commitment scheme is binding**, there exists an extractor that, given a 4-ary *tree of accepting transcripts for key* ( $\underline{G}_1, \underline{G}_2$ ) and commitment C, finds an opening ( $\underline{a}, \underline{b}$ ) such that  $C = (\langle \underline{a}, \underline{G}_1 \rangle, \langle \underline{b}, \underline{G}_2 \rangle, \langle \underline{a}, \underline{b} \rangle U)$ .

Similarly to Pedersen, we extract opening for each components. Using *a computational assumption and the larger tree*, we show that third component is the scalar-product  $\langle \underline{a}, \underline{b} \rangle$ .

Scalar-product commitment is *invertible*.

### Sumcheck arguments for commitment schemes

Today:



### Sumcheck-friendly commitments

Definition: A commitment scheme CM is sumcheck friendly if



Sumcheck arguments for sumcheck-friendly commitments?

# Sumcheck argument for sumcheck-friendly commitments

#### **Common input:**

- key *ck*
- commitment *C*

**Claim:**  $\exists m \text{ s.t. } C = \sum_{\omega \in H^{\ell}} f(p_m(\underline{\omega}), p_{ck}(\underline{\omega}))$ **Opening:** *m*  $\sum_{\omega \in H} q_1(\omega) = C?$  $\underline{r} \leftarrow \mathbb{F}^{\ell}$ msumcheck protocol for  $\sum_{\omega \in H} q_{\ell}(\omega) = q_{\ell-1}(r_{\ell-1})?$  $\sum_{\underline{\omega} \in H^{\ell}} f\left(p_m(\underline{\omega}), p_{ck}(\underline{\omega})\right) = C \quad q_1, \dots, q_{\ell}$ r Ρ  $p_m(\underline{r})$ **Consistency check: Communication:** sumcheck +  $|p_m(\underline{r})|$  $f\left(p_m(\underline{r}), p_{ck}(\underline{r})\right) = q_\ell(r_\ell)?$ **Verifier computation:** computation of  $p_{ck}(\underline{r})$  and f

Sumcheck argument: Sumcheck-friendly commitment

### Completeness and soundness

Lemma: The verifier accepts with probability 1.

Follows directly from definition of sumcheck-friendly commitments

**Lemma: If commitment scheme is invertible**, there exists an extractor that, given a suitable *tree of accepting transcripts* for key *ck* and commitment *C*, finds an opening *m*.

Extractor works inductively as in Pedersen using invertibility in each layer

Sumcheck argument: Sumcheck-friendly commitment

Property that allows to climb up the tree from layer to layer.

Given polynomial 
$$q_i(X)$$
 and "openings"  $p^{(1)}(\underline{X}), \dots, p^{(K)}(\underline{X})$  such that  $r_i^{(1)}, r_i^{(2)}, \dots, r_i^{(K)}$   
 $\forall j \in [K] : q_i(r^{(j)}) = \sum_{\underline{\omega} \in H^{\ell-i}} f\left(p^{(j)}(\underline{\omega}), p_{ck}(r_1, \dots, r_i^{(j)}, \underline{\omega})\right)$   $p^{(1)}$   $p^{(2)}$   $p^{(K)}$ 

We can find polynomial p such that  $\sum_{\omega \in H} q_i(\omega) = \sum_{\underline{\omega} \in H^{\ell-i+1}} f(p(\underline{\omega}), p_{ck}(r_1, \dots, r_{i-1}, \underline{\omega}))$ Extra variable  $X_i$ : p "bigger" than  $p^{(j)}$ 

**Invertible commitment schemes:** 

Pedersen commitments, scalar-product commitments, linear-function commitments

### Sumcheck arguments for commitment schemes

Today:



## From groups to rings

Everything so far extends to general  $\mathbb{F}$ -vector spaces, e.g., bilinear groups [BMMTV19].

 $\mathbb{G}_{2}$ 

Scalar-product commitments for bilinear groups:  $(\langle \underline{a}, \underline{G}_1 \rangle, \langle \underline{b}, \underline{G}_2 \rangle, \langle \underline{a}, \underline{b} \rangle) \in \mathbb{G}_T^3$ 

Lattices and groups of unknown order?

**Goal:** an abstraction for mathematical structures where folding techniques can work

# From groups to rings: bilinear modules

*R*-module *M*: generalization of vector space over rings

**Bilinear module:**  $(M_L, M_R, M_T, e)$  such that  $\bullet M_L, M_R, M_T$  are *R*-modules •  $e: M_L \times M_R \to M_T$  is *R*-bilinear



# From groups to rings: sumcheck arguments

#### common input:

• key *ck* Special challenge set  $\subseteq R!$ • commitment *C* (necessary even for claim:  $\exists m \text{ with } \|\boldsymbol{m}\| \leq \boldsymbol{B} \text{ s.t. } C = \sum_{\omega \in H^{\ell}} f(p_m(\underline{\omega}), p_{ck}(\underline{\omega}))$ sumcheck protocol) **Opening:** *m*  $\sum_{\omega \in H} q_1(\omega) = C?$ with  $||m|| \leq B$  $\underline{r} \leftarrow \mathcal{C}^{\ell}$ m $\sum_{\omega \in H} q_{\ell}(\omega) = q_{\ell-1}(r_{\ell-1})?$ sumcheck protocol for  $\sum_{\omega \in H^{\ell}} f\left(p_m(\underline{\omega}), p_{ck}(\underline{\omega})\right) = C$  $q_1,\ldots,q_\ell$ Ρ  $p_m(\underline{r})$ consistency check:  $f\left(p_m(\underline{r}), p_{ck}(\underline{r})\right) = v?$ Natural bound for evaluation of  $p_m$  on  $\mathcal{C}^\ell$  $\|p_m(\underline{r})\| \leq B_*$ ?

### From groups to rings: soundness

**Challenges:** 

Linear algebra different over rings and modules
 Norm considerations arise

**Lemma:** If commitment scheme is invertible, there exists an extractor that, given a suitable *tree of accepting transcripts* for key *ck* and commitment *C*, finds a **relaxed** opening *m*.

Arithmetic over rings might cause slackness factors and increase in norm.

e.g., for Pedersen, the extracted relaxed opening  $\underline{a}$  for C and  $\underline{G}$ :

	$\boldsymbol{\xi}^{\ell} \cdot C = \langle \underline{a}, \underline{G} \rangle$ wit	:h ∥ <u>a</u> ∥ ≤ <b>N</b> <sup>ℓ</sup>	$\cdot B_*$ Tighter analysis in
Parameters for	r lattices:		
Ring	С	ξ	Ň
$\frac{\mathbb{Z}_q[X]}{< X^d + 1 >}$	$\{X^i: 0 \le i \le 2d - 1\}$	8	$O(d^7)$

### From groups to rings: R1CS over rings

A remark about our R1CS result:

Without slackness!

Lemma (soundness): There exists an extractor that finds an R1CS witness.

e.g., for Pedersen, the extracted relaxed opening a for C and G:  $\boldsymbol{\xi}^{\boldsymbol{\ell}} \cdot \boldsymbol{C} = \langle \boldsymbol{a}, \boldsymbol{G} \rangle$  with  $||\boldsymbol{a}|| \leq N^{\boldsymbol{\ell}} \cdot \boldsymbol{B}$ **Issues:** 

 $C = \langle \underline{a} / \boldsymbol{\xi}^{\ell}, \underline{G} \rangle \text{ with } \|\underline{a} / \boldsymbol{\xi}^{\ell}\| \leq B' \quad 2. \|\underline{a} / \boldsymbol{\xi}^{\ell}\| \text{ might not be small}$ 

1.  $\xi$  might not be invertible

Ideal I such that  $\xi \pmod{I}$  is invertible,  $||x \pmod{I}||$  small for all x  $C = \langle a/\xi^{\ell} \pmod{I}, G \rangle$  with  $\|\underline{a}/\xi^{\ell} \pmod{I}\| \leq B'$ 

### Instantiations of bilinear modules

Assumption	Messages	Keys	Commitments	Ideal
BRA	small $M_L$	$M_R$	M <sub>T</sub>	Ι
DLOG	$\mathbb{F}_p$	G	G	{0}
DPAIR[AFGHO10]	$\mathbb{G}_1$	$\mathbb{G}_2$	G <sub>T</sub>	{0}
UO [BFS20]	small ${\mathbb Z}$	G	G	$n\mathbb{Z}$ for suitable small $n$
RSIS [Ajtai94]	small $R_q$	$R_q^d$	$R_q^d$	$n\mathbb{Z}$ for suitable small $n$

### Conclusion

### Summary of results

#### **Theorem 1:**

The sumcheck protocol applied to a sumcheck-friendly commitment scheme is a succinct argument of knowledge of commitment openings.

**Theorem 2**: Let  $(M_L, M_R, M_T)$  be a secure bilinear module with  $M_L$  a ring and  $I \subseteq M_L$  an ideal. There is a ZK succinct argument of knowledge for R1CS with

R1CS	Prover and verifier	Proof size
Ring	time	
$M_L/I$	$O(n)$ ops $M_L$ , $M_R$ , $M_T$	$O(\log n)$ elems

**Corollary:** Let  $p \ll q$  primes,  $R_p \coloneqq \mathbb{Z}_p[X]/\langle X^d + 1 \rangle$  and similarly for  $R_q$ . Then assuming SIS is hard, there is a ZK succinct argument of knowledge for R1CS with

R1CS	Prover and verifier	Proof size
Ring	time	
R <sub>p</sub>	$O(n)$ ops $R_p, R_q$	$O(\log n)$ elems $R_q$

### Takeaways

- Many commitment schemes are sumcheck friendly
- We can recast many different cryptographic settings as bilinear modules
- In the paper: instantiations and polynomial commitment schemes



### Thanks!



https://ia.cr/2021/333